

Legislative Oversight Committee

South Carolina House of Representatives

Post Office Box 11867

Columbia, South Carolina 29211

Telephone: (803) 212-6810 • Fax: (803) 212-6811



2016 Annual Restructuring Report Extension Request Guidelines

PLEASE NOTE:

The information included in the agency's report will appear online for all legislators and the public to view.

Agency Name:

Office of the Attorney General

Date Request Submitted:

February 5, 2016

Background

Committee Standard Practices 4.2.2 - 4.2.4

Extensions for Annual Restructuring Reports

4.2.2 The Chairman may, for reasons he determines as good cause, provide an agency an extension and new deadline to submit its Annual Restructuring Report ("New Deadline"). The Chairman will not provide more than two extensions without unanimous consent from the full committee.

4.2.3 Before the Chairman will consider a request from an agency for an extension, the agency must fully complete a Committee Extension Request form, as approved by the Committee Chairman, and provide it to the Chairman for consideration.

4.2.4 Until the agency receives a response, it should continue to complete the report to the best of its ability as if it is due on the original deadline.

Submission Process

Note this Extension Request Form will be published online.

Agency	Office of the Attorney General
Date of Submission	2/5/2016

Instructions: Please complete this Extension Request Form. The completed form should be submitted electronically to the House Legislative Oversight Committee (HCommLegOv@schouse.gov) in both the original format (Excel) and saved as a PDF for online reporting. Please direct any questions about this process to Jennifer Dobson (jenniferdobson@schouse.gov) or Charles Appleby (charlesappleby@schouse.gov).

I. Extension Request

- | | | |
|---|--|---|
| 1 | State the date the agency originally received the report guidelines: | 11/20/2015 |
| 2 | State the date the agency submitted this request for an extension: | 2/5/2016 |
| 3 | State the original deadline for the report: | January 12, 2016, first day of session as provided by statute |
| 4 | State the number of additional days the agency is requesting: | 30 days |
| 5 | State the new deadline if the additional days are granted: | 3/5/2016 |

II. History of Extensions

- | | | |
|---|--|------|
| 1 | List the years in which the agency previously requested an extension, putting the years the extension was granted in bold: | 2015 |
|---|--|------|

III. Good Cause

Submission Process

	1	Please state good cause as to why the Committee should grant the extension requested by the agency. Please limit the response to 1,000 words or less.	<i>We were awaiting the Dept of Admin OEPP Placement report. That report, though dated December 31, was not presented until mid January. Thereport included placement of two entities from Department of Administration to our agency ithout consulting us. We needed to process its impact for Reorganization</i>
IV.	Verfication		
	1	Please state the name of the agency head, or person designated and authorized by the agency head to do so, that has approved and reviewed the information provided in this Extension Request form.	<i>State Name of Agency Representative Providing Verfication about this Extension Request</i>
	2	Does the agency head, or designated person by the agency head, affirm that the information contained in this form from the agency is complete and accurate to the extent of his or her knowledge.	<i>Yes</i>
V.	Committee Response		
		Leave this section blank.	
	1	Date extension was granted:	9-Feb-16
	2	Number of additional days granted:	30 days
	3	New deadline for agency response:	5-Mar-16

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2016 Annual Restructuring Report Guidelines

PLEASE NOTE:

The information included in the agency's report will appear online for all legislators and the public to view.

Agency Name:

Date Report Submitted:

Agency Head

First Name

Last Name:

Email Address:

Phone Number:

South Carolina Office of Attorney General

February 12, 2016

Alan

Wilson

Lgibson@scag.gov

803-734-3970

SUBMISSIONS	
What to submit?	Please submit this document in electronically only in both the original format (Excel) as well as in a PDF document. Save the document as "2016 - Agency ARR (<i>insert date agency submits report</i>)."
When to submit?	The deadline for submission is by the first day of session, January 12, 2016.
Where to submit?	Email all electronic copies to HCommLegOv@schouse.gov.

NOTE: If the agency enters its Name and the Date of Submission in the "Cover Page" tab, it should automatically populate at the top of each tab in this report.

WHERE INFORMATION WILL APPEAR	
Where will submissions appear?	The information included in the agency's report will appear online for all legislators and the public to view. On the South Carolina Statehouse Website it will appear on the Publications page as well as on the individual agency page, which can be accessed from the House Legislative Oversight Page.

QUESTIONS	
Who to contact?	House Legislative Oversight at 803-212-6810.

OTHER INFORMATION	
<i>House Legislative Oversight</i>	
Mailing	Post Office Box 11867
Phone	803-212-6810
Fax	803-212-6811
Email	HCommLegOv@schouse.gov
Web	The agency may visit the South Carolina General Assembly Home Page (http://www.scstatehouse.gov) and click on "Citizens' Interest" then click on "House Legislative Oversight Committee Postings and Reports."

This is the first chart in the report because the legal standards which apply to the agency should serve as the basis for the agency's mission, vision and strategic plan.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016

Item #	Statute, Regulation, or Proviso Number	State or Federal	Summary of Statutory Requirement and/or Authority Granted	Is the law a Statute, Proviso or Regulation?
1	State Constitution (Art V, § 24)	State	Provides our authority as prosecutors for the State of South Carolina and legal advisor to the State Grand Jury and enforcement of S.C. criminal laws.	Statute
2	42 U.S.C. 1396a) (a) (61)	Federal	Mandates that a State must operate a Medicaid fraud and abuse control unit that effectively carries out the functions and requirements described in 42 CFR Part 1007.	Statute
3	S. 1738 (110th)	Federal	The P.R.O.T.E.C.T. Act of 2008 mandates that each state must have at least one ICAC Task Force working within its boundaries.	Statute
4	S.C. Code 16-3-2020(L) & 16-3-2050	State	The Violence Against Women Act (VAWA)	Statute
5	S.C. Code Ann. section 1-7-40	State	Provides that the Attorney General or Assistant Attorneys General "shall appear for the State in the Supreme Court and the court of appeals in the trial and argument of all causes, criminal and civil, in which the State is a party or interested, and in these causes in any other court of tribunal when required by the Governor or either branch of the General Assembly."	Statute
6	S.C. Code Ann § 17-27-40 & 17-27-160	State	Designates the Attorney General's Office as the responding government agency in post-conviction relief actions.	Statute
7	S.C. Code Ann. § 8-44-10 through -170	State	Sexual Violent Predator Act	Statute
8	S.C. Code Ann. §1-4-40, 1-4-50, 1-7-80 (1)	State	The Attorney General's office responds in federal habeas litigation when South Carolina convictions are challenged under 28 USC Section 2254 as Respondent's counsel for the custodian Warden pursuant to representation of state agency, South Carolina Department of Corrections.	Statute
9	S.C. Code § 39-5-10	State	Unfair Trade Practices	Statute
10	S.C. Code § 39-3-10	State	Trust, Monopolies and Restraints of Trade	Statute
11	S.C. Code § 33-31-101	State	South Carolina Nonprofit Corporation Act	Statute
12	S.C. Code § 1-7-130	State	Protection of Public Charities	Statute
13	S.C. Code § 1-7-50	State	Obligation to defend officers and employees of the State and its subdivisions	Statute
14	S.C. Code § 1-7-55	State	Authority to prosecute counter-claims and cross actions	Statute
15	S.C. Code §1-7-80	State	Conditions attached to appropriation for Attorney General for expenses of litigation.	Statute

16	S.C. Code § 1-7-85	State	Reimbursement of costs in representing State in criminal proceedings and State and its officers and agencies in civil and administrative proceedings.	Statute
17	S.C. Code § 1-7-90	State	Advice to General Assembly and Governor	Statute
18	S.C. Code § 1-7-100	State	Advice to solicitors; attendance at grand jury and trials.	Statute
19	S.C. Code § 1-7-110	State	Advice to State officers and Public Service Commission	Statute
20	S.C. Constitution, Article IV § 15:	State	Faithful execution of laws	Statute
21	S.C. Code § 1-7-160	State	authority to hire, approve, and supervise attorneys hired and requires all attorneys hired by the State to be approved by and to be under the supervision of the Attorney General	Statute
22	S.C. Code § 1-7-170	State	Must approve attorneys engaged on a fee basis	Statute
23	S.C. Code § 1-11-100	State	Must approve deeds conveying rights of way or easements over marshlands or vacant lands owned by the State	Statute
24	S.C. Code § 1-35-1260	State	Must approve all contracts for the services of attorneys unless there is other specific statutory authority	Statute
25	S.C. Code § 1-35-2420	State	Allegations concerning anticompetitive practices in procurement that are reported to the Attorney General	Statute
26	S.C. Code § 15-35-80	State	Constitutional challenges must be served on the Attorney General	Statute
27	S.C. Code § 17-9-50 & 17-11-80	State	Attorney General's role in extraditions and detainers	Statute
28	S.C. Code § 44-48-60 through 44-48-140	State	Attorney General's role in sexually violent predator matters	Statute
29	S.C. Code § 4-17-420	State	Enforce marketing orders at the request of the Agriculture Commission and Commodity Board	Statute
30	S.C. Code § 46-21-455	State	Enforce issues related to seeds in conjunction with the Secretary of Agriculture	Statute
31	S.C. Code § 54-6-10	State	Provide Support to the Savannah River Maritime Commission	Statute
32	S.C. Code § 58-36-120	State	Pursue violators of the South Carolina Underground Facility Damage Prevention Act	Statute
33	S.C. Code § 8-17-340	State	Serve as committee attorney for employee grievance hearings	Statute
34	S.C. Code § 11-47-10 through 11-47-40	State	Tobacco Escrow Fund Act	Statute
35	S.C. Code § 11-48-10 through 11-48-110	State	Tobacco Qualified Escrow Fund Enforcement Act	Statute
36	S.C. Code § 1-7-115	State	Appoints the Attorney General as Securities Commissioner and charges him with the administration of the South Carolina Uniform Securities Act	Statute
37	S.C. Code Title 11	State	State Finance and Procurement	Statute
38	S.C. Code Title 8	State	State Human Resources	Statute
39	S.C. Code Title 39	State	State Information Technology	Statute
40	S.C. Code § 63-19-1430	State	Youth Mentor Program	Statute

This is the second chart because the agency's mission and vision should have a basis in the legal standards, which the agency provided in the previous chart. After the agency knows the laws it must satisfy, along with its mission and vision, it can then set goals to satisfy those laws and achieve that vision (and the strategy and objectives to accomplish each goal - see next chart). To ensure accountability, one person below the head of the agency should be responsible for each goal. The same person is not required to be responsible for all of the goals.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions : Provide the agency's mission, vision and laws (i.e. state and/or federal statutes) which serve as the basis for the agency's mission and vision.

Mission	The mission of the Attorney General's Office is to serve the citizens of the State of South Carolina by providing legal representation of the highest quality to state government entities, by supporting the law enforcement communities and the legal and judicial branches through the legislative process, and by honorably and vigorously carrying out the constitutional and statutory responsibilities of the Attorney General.
Legal Basis for agency's mission	State Constitution (Art V, § 24)
Vision	We will continue to ensure that the rule of the law is followed and that the public interest is served. We will continue to protect our citizens and public safety through vigorous enforcement of the criminal laws and, where necessary, work to reform the criminal justice system. We will continue to seek transparency in government and efficiency in the performance of our duties as Chief Prosecutor and the State's Chief Legal Officer.
Legal Basis for agency's vision	State Constitution (Art V, § 24)

Instructions :

- 1) Under the "Legal Responsibilities Satisfied" column, enter the legal responsibilities (i.e. state and/or federal statutes and provisos) the goal is satisfying. All of the laws mentioned in the previous chart (i.e. Legal Standards Chart) should be included next to one of the agency's goals. When listing the Legal Responsibilities Satisfied, the agency can group the standards together when applicable (i.e. SC Code 63-19-320 thru 63-19-450). Make sure it is clear whether the agency is referencing state or federal laws and whether it is a proviso or statute.
- 2) Under the "Goals and Description" column, enter the number and description of the goal which will help the agency achieve its vision (i.e. Goal 1 - Increase the number of job opportunities available to juveniles to 20 per juvenile within the next 2 years). The agency should have 3-4 high level goals.
- 3) Under the "Describe how the Goal is SMART" column, enter the information which shows the goal is Specific, Measurable, Attainable, Relevant and Time-bound.
- 4) Under the "Public Benefit/Intended Outcome" column, enter the intended outcome of accomplishing the goal.
- 5) Under the "Responsible Person" columns, provide information about the individual who has primary responsibility/accountability for each goal. The Responsible Person has different teams of employees beneath him/her to help accomplish the goal. The Responsible Person is the person who, in conjunction with his/her team(s) and approval from higher level superiors, determines the strategy and objectives to accomplish the goal. In addition, this is the person who monitors the progress and makes any changes needed to the strategies and objectives to ensure the goal is accomplished. Under the "Position" column, enter the Responsible Person's position/title at the agency.

Legal Responsibilities Satisfied	Goals & Description	Describe how the Goal is S.M.A.R.T.	Public Benefit/Intended Outcome	Responsible Person Name:	Number of months person has been responsible for the goal or objective:	Position:
(i.e. state and federal statutes or provisos the goal is satisfying)	(i.e. Goal 1 - insert description)	Specific Measurable Attainable Relevant Time-bound	(Ex. Output = rumble strips are installed on the sides of a road; Outcome = incidents decrease and public perceives that the road is safer) Just enter the intended outcome			

Legal Standards Chart Item Numbers 1-5, 7-8, 28	Help Fight Crime and Bring Criminals to Justice by Prosecution and Litigation of Crime in the South Carolina Judicial System.	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured by ongoing review of caseload and personnel performance. The goal is attainable by adequately and diligently preparing, investigation through law enforcement agencies and concluding cases. The goal is relevant to the needs and requirements of the Attorney General and State. The goal will be re-evaluated on an annual basis.	Reduction of criminal activities and matters in the State. Safer place for the citizens of South Carolina.	John McIntosh	Since 1995	Chief Deputy Attorney General
Legal Standards Chart Item Numbers 6, 9-16, 20-27, 35	Protect the People of State and Constitutional Law through Investigating and Litigating Cases in Which the State has Interest.	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured through annual review of caseloads and personnel performance. The goal is attainable by diligent enforcement of State Tobacco Escrow Fund Act and Tobacco Qualified Escrow Fund Enforcement, regulation of deceptive and unfair trade practices, increased consumer protection by litigating anticompetitive practice cases and antitrust violations in the State. The goal is relevant to the statutory requirement and legal protection of the citizens of the State. The goal will be re-evaluated on an annual basis.	Regulation of Tobacco Escrow Fund Act and Tobacco Qualified Escrow Fund Enforcement. Elimination of unfair trade and anticompetitive practices; and antitrust violations in the State.	Stephen Lynch - Civil Litigation, Tobacco Litigation Sonny Jones - Consumer Protection & Antitrust	S. Lynch -Since 2004 Sonny Jones - Since 2004	Stephen Lynch - Deputy Attorney General Sonny Jones - Senior Assistant Deputy Attorney General
Legal Standards Chart Item Number 36	Provide a Fair and Productive Securities Environment for the Securities Industry, Investors, and the Public.	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured through annual review of Securities filings, trainings, enforcement and staff performance. The goal is attainable by completing necessary securities audits, thorough review of securities filings, continued education for securities dealers, and educational classes for the citizens of the State. The goal is relevant to the statutory requirement and legal protection of the citizens of the State. The goal will be re-evaluated on an annual basis.	Fair and regulated investment environment for the public, investors, and the securities industry in the State.	Stephen Lynch	Since 2004	Deputy Attorney General
Legal Standards Chart Item Numbers 17-19	Enhance the Role of the Attorney General through the Solicitor General Legal Advisory and Shaping the State's Legal Policy.	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured through ongoing analyzation of opinions issued and annual review of staff performance. The goal is attainable by timely and credible opinions generated by the Agency Solicitor General's Division. The goal is relevant to uphold the constitutionality of the law. The goal will be re-evaluated on an annual basis.	Well researched and highly credible opinions issued which uphold the constitutionality of the law.	Robert Cook	Since 1983	Solicitor General

This is the next chart because once the agency determines its goals, and those responsible for each goal, it then needs to determine the strategy and objectives to accomplish each goal. To ensure accountability, one person should be responsible for each objective. This can be the same person responsible for the goal, if it is a small agency, or, for larger agencies, a person who reports to the person responsible for the goal. The same person is not required to be responsible for all of the objectives.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions :

- 1) Under the "Legal Responsibilities Satisfied" column, enter the legal responsibilities (i.e. state and/or federal statutes and provisos) the goal or objective is satisfying. For each goal, the agency can copy and paste the information from the Mission, Vision and Goals Chart. All of the legal standards mentioned for a particular goal should be included next to one of the objectives under that goal. When listing the Legal Responsibilities Satisfied, the agency can group the standards together when applicable (i.e. 63-19-320 thru 63-19-370). Make sure it is clear whether the agency is referencing state or federal laws and whether it is a proviso or statute.
- 2) Under the "Strategic Plan Part and Description" column, enter the strategic plan part number and description (i.e. Goal 1 - Increase the number of job opportunities available to juveniles to 20 per juvenile within the next 2 years). For each goal, the agency can copy and paste the information from the Mission, Vision and Goals Chart. If the agency is still utilizing the same strategies and objectives it submitted as part of the Accountability Report, it can copy and paste those into this chart, then fill in the remainder of the columns. However, if the agency has trouble explaining how each objective is SMART, it may need to revise its objectives. In addition, if the agency has revised its strategic plan since submitting its last Accountability Report, please provide information from the most current strategic plan.
- 3) Under the "Describe how it is SMART" column, enter the information which shows how each goal and objective is Specific, Measurable, Attainable, Relevant and Time-bound.
- 4) Under the "Public Benefit/Intended Outcome" column, enter the intended outcome of accomplishing each goal and objective.
- 5) Under the "Responsible Person" columns, provide information about the individual who has primary responsibility/accountability for each goal and objective. The Responsible Person for a goal has different teams of employees beneath him/her to help accomplish the goal. The Responsible Person for an objective has employees and possibly different teams of employees beneath him/her to help accomplish the objective. The Responsible Person for a goal is the person who, in conjunction with his/her team(s) and approval from higher level superiors, determines the strategy and objectives needed to accomplish the goal. The Responsible Person for an objective is the person who, in conjunction with his/her employees and approval from higher level superiors, sets the performance measure targets and heads the game plan for how to accomplish the objective for which he/she is responsible. Under the "Position" column, enter the Responsible Person's position/title at the agency. Under "Office Address" column, enter the address for the office from which the Responsible Person works. Under the "Department/Division" column, enter the department or division at the agency in which the Responsible Person works. Under the "Department/Division Summary" column, enter a brief summary (no more than 1-2 sentences) of what that department or division does in the agency.

Legal Responsibilities Satisfied:	Strategic Plan Part and Description	How it is S.M.A.R.T.:	Public Benefit/Intended Outcome:	Responsible Person Name:	Number of months person has been responsible for the goal or objective:	Position:	Office Address:	Department or Division:	Department or Division Summary:
(i.e. state and federal statutes or provisos the goal or objective is satisfying)	(i.e. Goal 1 - Insert description, Strategy 1.1 - Insert Description, Objective 1.1.1 - Insert Description)	Describe how each goal and objective is... S pecific; M easurable; A ttainable; R elevant; and T ime-bound	(Ex. Output = rumble strips are installed on the sides of a road; Outcome = incidents decrease and public perceives that the road is safer) Just enter the intended outcome						

Legal Standards Chart Item Numbers 1-5, 7-8, 28	Goal 1-Help Fight Crime and Bring Criminals to Justice by Prosecution and Litigation of Criminal Matters in the South Carolina Judicial System	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured by ongoing review of caseload and personnel performance. The goal is attainable by adequately and diligently preparing, investigating with law enforcement agencies and concluding cases. The goal is relevant to the needs and requirements of the Attorney General and State. The goal will be re-evaluated on an annual basis.	<i>Reduction of Crime in the State</i>	<i>John McIntosh</i>	<i>Since 1995</i>	<i>Chief Deputy Attorney General</i>	<i>1000 Assembly Street , Suite 519, Columbia, SC 29201</i>	<i>Criminal Prosecution & Litigation</i>	<i>Prosecute and Litigate Criminal Matters for the State of South Carolina. Criminal Prosecution matters include: Legal Advisory to the State Grand Jury, VAWA/Human Trafficking, Medicaid Provider and Recipient Fraud, and Solicitation Crimes of Minors (ICAC). Criminal Litigation includes: Criminal Appeals, Post Conviction Relief, Capital/Collateral Litigation, and Sexual Violent Predator.</i>
Legal Standards Chart Item Numbers 1-5, 7-8, 28	Strategy 1.1 - Work closely with Law Enforcement and other state, federal, and local agencies to successfully prosecute cases.	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Legal Standards Chart Item Numbers 1-5, 7-8, 28	<i>Objective 1.1.1 - Deterrence of crime in the State.</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measurable by review of caseload and personnel performance. It is attainable through diligent enforcement, thorough investigations with law enforcement agencies, appropriate case dispositions and necessary litigation and prosecution. The goal is reviewed and measured annually through internal fiscal reviews.	<i>Reduction of Crime in the State</i>	<i>John McIntosh</i>	<i>Since 1995</i>	<i>Chief Deputy Attorney General</i>	<i>1000 Assembly Street , Suite 519, Columbia, SC 29201</i>	<i>Criminal Prosecution & Litigation</i>	<i>Prosecute and Litigate Criminal Matters for the State of South Carolina. Criminal Prosecution matters include: Legal Advisory to the State Grand Jury, VAWA/Human Trafficking, Medicaid Provider and Recipient Fraud, and Solicitation Crimes of Minors (ICAC). Criminal Litigation includes: Criminal Appeals, Post Conviction Relief, Capital/Collateral Litigation, and Sexual Violent Predator.</i>

Legal Standards Chart Item Numbers 1-5, 7-8, 28	<i>Objective 1.1.2 - Conclude open and pending cases with diligence.</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measurable by analyzing current and ongoing case loads and personnel performance. It is attainable through diligent enforcement, thorough investigations with law enforcement agencies, appropriate case dispositions and necessary prosecution. The goal is reviewed and measured annually through internal fiscal reviews.	<i>Reduction of Crime in the State and alleviate case backlog.</i>	John McIntosh	Since 1995	Chief Deputy Attorney General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Criminal Prosecution & Litigation	<i>Prosecute and Litigate Criminal Matters for the State of South Carolina. Criminal Prosecution matters include: Legal Advisory to the State Grand Jury, VAWA/Human Trafficking, Medicaid Provider and Recipient Fraud, and Solicitation Crimes of Minors (ICAC). Criminal Litigation includes: Criminal Appeals, Post Conviction Relief, Capital/Collateral Litigation, and Sexual Violent Predator.</i>
Legal Standards Chart Item Numbers 1-5, 7-8, 28	<i>Objective 1.1.3 - Recover funds where the state has been defrauded.</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measurable by calculating the funds recovered. It is attainable through diligent enforcement, thorough investigations with law enforcement and appropriate litigation and prosecution. The goal is reviewed and measured annually through internal fiscal reviews.	<i>Increased awareness of State enforcement and prosecution of criminal matters. Deterrence of fraud in the State. Funds recovered for the State.</i>	John McIntosh		Chief Deputy Attorney General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Criminal Prosecution & Litigation	<i>Prosecute and Litigate Criminal Matters for the State of South Carolina. Criminal Prosecution matters include: Legal Advisory to the State Grand Jury, VAWA/Human Trafficking, Medicaid Provider and Recipient Fraud, and Solicitation Crimes of Minors (ICAC). Criminal Litigation includes: Criminal Appeals, Post Conviction Relief, Capital/Collateral Litigation, and Sexual Violent Predator.</i>

Legal Standards Chart Item Numbers 6, 9-16, 20-27, 35	Goal 2-Protect the people of the State and Constitutional Law through Investigating and Litigating Cases in Which the State has Interest.	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured through annual review of caseloads and personnel performance. The goal is attainable by diligent enforcement of Tobacco Escrow Fund Act, regulation of deceptive and unfair trade practices, increased consumer protection by litigating anticompetitive practice cases and antitrust violations in the State. The goal is relevant to the statutory requirement and legal protection of the citizens of the State. The goal will be re-evaluated on an annual basis.	<i>Increased consumer protection and Anti-trust for the citizen of the State. Compliance with Tobacco Escrow Fund Act. Deterrence of unfair trade practices in the State.</i>	Stephen Lynch	Since 2004	Deputy Attorney General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Legal Services	<i>Legal Services Division represents the State in cases in which the State has interest. Litigation includes Civil Litigation, Consumer Protection and Anti-trust. Legal Services Division is responsible for Tobacco and Securities Regulation and Enforcement in the State.</i>
Legal Standards Chart Item Numbers 6, 9-16, 20-27, 35	Strategy 2.1 - Representation of the State and Constitutional Law through Prosecuting Cases in Which the State has Interest.	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a
Legal Standards Chart Item Numbers 6, 9-16, 20-27, 35	<i>Objective 2.1.1-Strive to meet required 96% NPM Tobacco Deposit Percentages Annually</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measurable by calculation of the NPM deposits received and personnel performance. It is attainable through diligent enforcement. The goal is reviewed and measured annually through internal fiscal reviews.	<i>Compliance with Tobacco Escrow Fund Act and Tobacco Qualified Escrow Fund Enforcement.</i>	Stephen Lynch	Since 2004	Deputy Attorney General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Legal Services	<i>Legal Services Division represents the State in cases in which the State has interest. Litigation includes Civil Litigation, Consumer Protection and Anti-trust. Legal Services Division is responsible for Tobacco and Securities Regulation and Enforcement in the State.</i>

Legal Standards Chart Item Numbers 6, 9-16, 20-27, 35	<i>Objective 2.1.2-Increase Consumer Protection from unfair and deceptive trade practices and anticompetitive and antitrust violations.</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measurable by ongoing case review and personnel performance. It is attainable through diligent enforcement, thorough investigations with law enforcement agencies and appropriate litigation. The goal is reviewed and measured annually through internal fiscal reviews.	<i>Deterrence of unfair trade and anti- competitive practices in the State.</i>	Sonny Jones	Since 2004	Senior Assistant Deputy Attorney General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Legal Services	<i>Legal Services Division represents the State in cases in which the State has interest. Litigation includes Civil Litigation, Consumer Protection and Anti- trust. Legal Services Division is responsible for Tobacco and Securities Regulation and Enforcement in the State.</i>
Legal Standards Chart Item Number 36	Goal 3-Provide a Fair and Productive Securities Environment for the Securities Industry, Investors, and the Public.	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured through annual review of Securities filings, trainings, enforcement and staff performance. The goal is attainable by completing necessary securities audits, thorough review of securities filings, continued education for securities dealers, and educational classes for the citizens of the State. The goal is relevant to the statutory requirement and legal protection of the citizens of the State. The goal will be re- evaluated on an annual basis.	<i>Increased consumer protection and Anti- trust for the citizen of the State. Compliance with Tobacco Escrow Fund Act. Deterrence of unfair trade practices in the State.</i>	Stephen Lynch	Since 2004	Deputy Attorney General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Legal Services	Legal Services Division represents the State in cases in which the State has interest. Litigation includes Civil Litigation, Consumer Protection and Anti- trust. Legal Services Division is responsible for Tobacco and Securities Regulation and Enforcement in the State.
Legal Standards Chart Item Number 36	Strategy 3.1-Monitor Registration and Compliance of Broker Dealers, Investment Advisors and Securities Offerings in South Carolina.	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a

Legal Standards Chart Item Number 36	<i>Objective 3.1.1-Initiate Audit and Investigations of Securities Complaints Received within 30 days.</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measured by Securities statistics collected annually. It is attainable through diligent enforcement, thorough review of complaints, and thorough investigations with law enforcement agencies. The goal is reviewed and measured annually through internal fiscal reviews.	Increased education for investors, the public, and the securities industry in the State. Deterrence of Securities Fraud in the State.	Stephen Lynch	Since 2004	Deputy Attorney General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Legal Services	Legal Services Division represents the State in cases in which the State has interest. Litigation includes Civil Litigation, Consumer Protection and Anti-trust. Legal Services Division is responsible for Tobacco and Securities Regulation and Enforcement in the State.
Legal Standards Chart Item Number 36	<i>Objective 3.1.2-Timely Review of Securities Registration Filings within 30 Days of Receipt</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measurable by annual Securities statistics. It is attainable through diligent enforcement, thorough review of applicants and thorough investigation with law enforcement agencies when deemed necessary. The goal is reviewed and measured annually through internal fiscal reviews.	Increased education for investors and Dealers in the State. Deterrence of Securities Fraud in the State.	Stephen Lynch	Since 2004	Deputy Attorney General	1015 Assembly Street , Suite 519, Columbia, SC 29201	Legal Services	Legal Services Division represents the State in cases in which the State has interest. Litigation includes Civil Litigation, Consumer Protection and Anti-trust. Legal Services Division is responsible for Tobacco and Securities Regulation and Enforcement in the State.
Legal Standards Chart Item Numbers 17-19	Goal 4-Enhance the Role of the Attorney General through the Solicitor General Legal Advisory and Shaping the State's Legal Policy.	The goal aligns with the statutory requirement of the State Attorney General. The goal will be measured through ongoing analyzation of opinions issued and annual review of staff performance. The goal is attainable by timely and credible opinions generated by the Agency Solicitor General's Division. The goal is relevant to uphold the constitutionality of the law. The goal will be re-evaluated on an annual basis.	<i>Credible legal opinions issued to Governor, Attorney General, members of General Assembly and other public officials of the State to uphold constitutionality of the law.</i>	Robert Cook	Since 2004	Solicitor General	1000 Assembly Street , Suite 519, Columbia, SC 29201	Solicitor General	Solicitor General Division provides legal advice to the Governor, Attorney General, members of the General Assembly and certain other public officials.
Legal Standards Chart Item Numbers 17-19	Strategy 4.1-Issuing Written Legal Opinions and Advisory to Law Enforcement and Judicial Officers Upon Request.	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a

Legal Standards Chart Item Numbers 17-19	<i>4.1.1 Well Researched, High Quality Legal Opinions issued to the State and Political Subdivisions.</i>	This goal is specific as to the nature of the statutory requirement of the Attorney General. It is measurable by the annual review of opinions issued. It is attainable through adequate personnel placement to draft requested opinions. The goal is reviewed and measured annually through internal fiscal reviews.	<i>Credible legal opinions issued to Governor, Attorney General, members of General Assembly and other public officials of the State to uphold constitutionality of the law.</i>	Robert Cook	Since 1983	Solicitor General	<i>1000 Assembly Street , Suite 519, Columbia, SC 29201</i>	Solicitor General	<i>Solicitor General Division (formerly named Opinions Division) provides legal advice to the Governor, members of the General Assembly and certain other public officials.</i>
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This is the next chart because once the agency has determined its goals, strategies and objectives, the agency needs to determine which of its programs will help achieve those objectives and goals and which programs may need to be curtailed or eliminated. If one program is helping accomplish an objective that a lot of other programs are also helping accomplish, the agency should consider whether the resources needed for that program could be better utilized (i.e. so the agency can most effectively and efficiently accomplish all of its goals and objectives) if they were distributed among the other programs that are helping accomplish the same objective or among programs that are helping accomplish other objectives.

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Instructions :

- 1) Under the "Name of Agency Program" column, enter the name of every program at the agency on a separate row.
- 2) Under the "Description of Program" column, enter a 1-3 sentence description of the agency program.
- 3) Under the "Legal Statute Requiring Program" column, enter the legal statute which requires (this is different than allows) the program, if the program is required by a state or federal statute or proviso. Make sure it is clear whether the agency is referencing state or federal laws and whether it is a proviso or statute. If the program is not required by a state or federal statute or proviso, enter "none."
- 3) Under the "Objective the Program Helps Accomplish" column, enter the strategic plan objective number and description. The agency can copy the Objective number and description from the first column of the Strategy, Objective and Responsibility Chart. Enter ONLY ONE objective per row. If an agency program helps accomplish multiple objectives, insert additional rows with that agency program information and enter each different objective it helps accomplish on a separate row.

Name of Agency Program	Description of Program	Legal Statute or Proviso Requiring the Program	Objective the Program Helps Accomplish (The agency can copy the Objective number and description from the first column of the Strategy, Objective and Responsibility Chart) List <u>ONLY ONE</u> strategic objective per row.
Criminal Prosecution	The Criminal Prosecution Division consist of Legal Advisory to the State Grand Jury, General Prosecution, Medicaid Provider Fraud, Medicaid Recipient Fraud, Food Stamp Trafficking Fraud (SNAP), Solicitation Crimes of Minors (ICAC), Violence Against Women Act (VAWA), and Human Trafficking matters.	Legal Standards Chart Item Numbers 1-5, 7-8, 28	1.1.1-Deterrence of crime in the State
Criminal Prosecution	The Criminal Prosecution Division consist of Legal Advisory to the State Grand Jury, General Prosecution, Medicaid Provider Fraud, Medicaid Recipient Fraud, Food Stamp Trafficking Fraud (SNAP), Solicitation Crimes of Minors (ICAC), Violence Against Women Act (VAWA), and Human Trafficking matters.	Legal Standards Chart Item Numbers 1-5, 7-8, 28	1.1.2-Conclude open and pending cases with diligence
Criminal Prosecution	Criminal Prosecution of Medicaid Provider Fraud, Medicaid Recipient Fraud, and Food Stamp Trafficking Fraud (SNAP)	Legal Standards Chart Item Numbers 1, 2	1.1.3-Recover funds where the state has been defrauded
Criminal Litigation	The Criminal Litigation Division consist of Criminal Appeals, Post-Conviction Relief, Capital and Collateral Litigation, and Sexually Violent Predator (SVP) matters.	Legal Standards Chart Item Numbers 7-9	1.1.1-Deterrence of crime in the State
Criminal Litigation	The Criminal Litigation Division consist of Criminal Appeals, Post-Conviction Relief, Capital and Collateral Litigation, and Sexually Violent Predator (SVP) matters.	Legal Standards Chart Item Numbers 7-9	1.1.2-Conclude open and pending cases with diligence
Criminal Litigation	The Criminal Litigation Division consist of Criminal Appeals, Post-Conviction Relief, Capital and Collateral Litigation, and Sexually Violent Predator (SVP) matters.	Legal Standards Chart Item Numbers 7-9	1.1.3-Recover funds where the state has been defrauded
Legal Services	Tobacco Enforcement of Tobacco Escrow Fund Act	Legal Standards Chart Item Numbers 34-35	2.1.1-Strive to meet required 96% NPM Tobacco Deposits

Legal Services	Civil Litigation representing a wide variety of civil legal matters and Consumer Protection and Anti-trust matters.	Legal Standards Chart Item Numbers 6, 9-16, 25-27,	2.1.2-Increase Consumer Protection from unfair and deceptive trade practices and anticompetitive and antitrust violations
Legal Services	South Carolina State Securities Commissioner	Legal Standards Chart Item Numbers 29-38	3.1.1-Initiate Audit and Investigations of Securities Complaints Received within 30 days
Legal Services	The Legal Services Division consist of the State's Securities Commissioner, Tobacco Enforcement of Tobacco Escrow Fund Act Tobacco Qualified Escrow Fund Enforcement, Civil Litigation representing a wide variety of civil legal matters and Consumer Protection and Anti-trust matters.	Legal Standards Chart Item Numbers 29-38	3.1.2-Timely Review of Securities Registration Filings within 30 days of Receipt
Solicitor General	The Solicitor General Section provides legal advice to the Governor, Attorney General, members of the General Assembly, and public officials.	Legal Standards Chart Item Numbers 17-19	4.1.1-Well Researched, High Quality Legal Opinions issued to the State and Political Subdivisions.

This is the next chart because once the agency determines its goals, strategies and objectives, as well as the programs that will best allow the agency to accomplish its objectives, the agency needs to determine how to allocate its funds to most effectively and efficiently accomplish the objectives. After allocating the funds to the objectives, the agency may decide to go back and revise which associated programs it will continue, curtail or eliminate in order to most effectively and efficiently accomplish its goals and objectives.

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IMPORTANT TIME SAVING NOTE: Please note that only one year of budgeted funds is requested. Once an agency is under study with the House Legislative Oversight Committee, the Committee may request information on how the agency budgeted and spent money for the previous five years. If an agency is chosen for study five years from now, the agency can quickly and easily combine the information from this chart for each of the last five years.

Part A Instructions : Estimated Funds Available this Fiscal Year (2015-16)

1) Please enter each source of funds for the agency in a separate column. Group the funding sources however is best for the agency (i.e. general appropriation programs, proviso 18.2, proviso 19.3, grant ABC, grant XYZ, Motor Vehicle User Fees, License Fines, etc.) to provide the information requested below each source (i.e. state, other or federal funding; recurring or one-time funding; etc.). The agency is not restricted by the number of columns below so please delete or add as many as needed. **However the agency chooses to group its funding sources, it should be clear through Part A and B, how much the agency estimates it has available to spend and where the agency has budgeted the funds it has available to spend.**

Part B Instructions : How Agency Budgeted Funds this Fiscal Year (2015-16)

1) Enter each agency objective and description (i.e. Objective 1.1.1 - insert description of objective). The agency can insert as many rows as necessary so that all objectives are included.
2) After entering all of the objectives, enter each "unrelated purpose" for which money received by the agency will go (i.e. Unrelated Purpose #1 - insert description of unrelated purpose) on a separate row. An "unrelated purpose" is money the agency is legislatively directed to spend on something that is not related to an agency objective (i.e. pass through, carry forward, etc.).
3) Enter how much money from each source of funds the agency budgets to spend on each objective and unrelated purpose. The "Total budgeted to spend on objectives and unrelated purposes" for each source of funds in Part B should equal the "Amount estimated to have available to spend this fiscal year" in Part A.

PART A
Estimated Funds
Available this
Fiscal Year
(2015-16)

Explanations from the Agency regarding Part A:		Insert any additional explanations the agency would like to provide related to the information it provides below.									
Source of Funds:	Totals	General Appropriation	Securities Fees (Proviso 59.5)	Securities Fines	Tobacco Arbitration and Enforcement	Ticket Fines	Medicaid Recipient Fraud Program	Medicaid Provider Fraud Grant	ICAC Grant	Violence Against Women Act Grant	Civil Litigation Court Ordered Fees and Fines
Is the source state, other or federal funding:	Totals	State	Other	Other	Other	Other	Other(DHHS)	Federal 75% Other 25%	Federal	Federal 75% State 25%	Other
Is funding recurring or one-time?	Totals	Recurring	Recurring	Recurring (fluctuates annually)	Recurring	Recurring (fluctuates annually)	Recurring	Recurring	Recurring	Recurring	One-Time
\$ From Last Year Available to Spend this Year											
Amount available at end of previous fiscal year	\$17,268,889	\$0	\$577,010	\$1,164,532	\$618,376	\$146,767	\$38,186	\$140,064	\$716,623	\$17,153	\$13,850,178
Amount available at end of previous fiscal year that agency can actually use this fiscal year:	\$17,268,889	0	577,010	1,164,532	618,376	146,767	38,186	140,064	716,623	17,153	13,850,178
If the amounts in the two rows above are not the same, explain why :	Enter explanation for each fund to the right										
\$ Estimated to Receive this Year											
Amount budgeted/estimated to receive in this fiscal year:	\$22,147,330	5,892,527	1,900,000	750,000	1,253,000	600,000	657,000	1,423,198	326,605	45,000	9,300,000
Total Actually Available this Year											
Amount estimated to have available to spend this fiscal year (i.e. Amount available at end of previous fiscal year that agency can actually use in this fiscal year PLUS Amount budgeted/estimated to receive this fiscal year):	\$19,116,242	5,892,527	1,900,000	199,000	618,376	\$37,326	657,000	1,423,198	743,815	45,000	7,100,000

PART B
How Agency
Budgeted Funds
this Fiscal Year
(2015-16)

Explanations from the Agency regarding Part B:		Insert any additional explanations the agency would like to provide related to the information it provides below.									
Source of Funds: (the rows to the left should populate automatically from what the agency entered in Part A)	Totals	General Appropriation	Securities Fees (Proviso 59.5)	Securities Fines	Tobacco Arbitration and Enforcement	Ticket Fines	Medicaid Recipient Fraud Program	Medicaid Provider Fraud Grant	ICAC Grant	Violence Against Women Act Grant	Civil Litigation Court Ordered Fees and Fines
Is source state, other or federal funding: (the rows to the left should populate automatically from what the agency entered in Part A)	Totals	State	Other	Other	Other	Other	Other(DHHS)	Federal 75% Other 25%	Federal	Federal 75% State 25%	Other
Restrictions on how agency is able to spend the funds from this source:	n/a	Non-Restricted	Restricted- Statute 35-1-702(b), proviso 59.5	Restricted-Statute 35-7-702c	Restricted-Proviso 118.12	Restricted to grant contract	Restricted to MRFU Program Contract with DHHS	Restricted to grant contract	Restricted to grant contract	Restricted to grant contract	Non-Restricted
Amount estimated to have available to spend this fiscal year: (the rows to the left should populate automatically from what the agency entered in Part A)	\$19,116,242	\$5,892,527	\$1,900,000	\$199,000	\$618,376	\$537,326	\$657,000	\$1,423,198	\$743,815	\$45,000	\$7,100,000
Are expenditure of funds tracked through SCEIS? (if no, state the system through which they are recorded so the total amount of expenditures could be verified, if needed)	n/a	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Where Agency Budgeted to Spend Money this Year											
1.1.1-Deterrence of Criminal Matters in the State : 1.1.3-Recover funds where the state has been defrauded.	\$9,104,191	\$3,541,668					\$570,550	\$1,054,282	\$541,339	\$45,000	\$3,351,352
2.1.1-Strive to meet 99% NPM Tobacco Deposit Percentages Annually (Tobacco Escrow Enforcement Act)	\$520,546				\$520,546						
2.1.2-Increase Consumer Protection from unfair and deceptive trade practice, anti-competitive practices and anti-trust violations	\$874,867	\$76,855									\$798,012

3.1.1-Initiate Audit and Investigations of Securities Complaints Received within 30 days: 3.1.2-Timely Review of Securities Registration Filings within 30 days of Receipt	\$1,890,380		\$1,691,380	\$199,000							
4.1.1-Well Researched, High Quality Legal Opinions issued to the State and Political Subdivisions.	\$655,048	\$244,609									\$410,439
Executive & Administrative Division	\$2,404,889	\$1,775,382									\$629,507
Special Programs (Victim Services & Youth Mentor)	\$270,007	\$182,013									\$87,994
Operational, contractual services, supplies, and equipment.	\$3,146,314	\$72,000	\$208,620		\$97,830	\$537,326	\$86,450	\$368,916	\$202,476		\$1,572,696
Building Renovation	\$250,000										\$250,000
Total Budgeted to Spend on Objectives and Unrelated Purposes: (this should be the same as Amount estimated to have available to spend this fiscal year)	\$19,116,242	\$5,892,527	\$1,900,000	\$199,000	\$618,376	\$537,326	\$657,000	\$1,423,198	\$743,815	\$45,000	\$7,100,000

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Help Fight Crime and Bring Criminals to Justice by Prosecution and Litigation of Crime in the South Carolina Judicial System	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 1-5, 7-8, 28	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 1.1 - Work closely with Law Enforcement and other state, federal, and local agencies to successfully prosecute cases.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	Objective 1.1.1 - Deterrence of crime in the State.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 1-5, 7-8, 28	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Reduction of Crime in the State	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Criminal Prosecution and Criminal Litigation	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	John McIntosh	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:	Since 1995	
Position:	Chief Deputy Attorney General	
Office Address:	1000 Assembly Street Suite 519 Columbia, SC 29201	
Department or Division:	Criminal Prosecution and Criminal Litigation	
Department or Division Summary:	The Criminal Prosecution Division consist of Legal Advisory to the State Grand Jury, General Prosecution, Medicaid Provider Fraud, Medicaid Recipient Fraud, Food Stamp Trafficking Fraud (SNAP), Solicitation Crimes of Minors (ICAC), Violence Against Women Act (VAWA), and Human Trafficking matters. The Criminal Litigation Division consist of Criminal Appeals, Post-Conviction Relief, Capital and Collateral Litigation, and Sexually Violent Predator (SVP) matters.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$9,104,191 (combined with 1.1.2 & 1.1.3)	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.

2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).

3) In the next set of cells enter the actual and target results for each year. Next to "Actual Results," enter the actual value the agency had for that performance measure at the end of that year. Next to "Target Results," enter the target value the agency wanted to reach for the performance measure for that year. Next to "Minimum acceptable level," enter the minimum level for this performance measure that the agency would find acceptable. Including a minimum acceptable level and target level will hopefully encourage the agency to continually set challenging targets each year. If the agency did not utilize a particular performance measure during certain years, then enter the following next to the applicable "Actual Results" and "Target Results," - "Agency did not use PM during this year."

4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	Objective 1.1.1 - Deterrence of crime in the State.	Annual Accountability Report
Performance Measure:	Timely Review and Prosecution of Cases	
Type of Measure:	Input/Explanatory/Activity	
Results		
2013-14 Actual Results (as of 6/30/14):	Cases reviewed adequately and timely	
2014-15 Target Results:	Cases reviewed adequately and timely	
2014-15 Actual Results (as of 6/30/15):	Cases reviewed adequately and timely	
2015-16 Minimum Acceptable Results:	Cases reviewed adequately and timely	
2015-16 Target Results:	Cases reviewed adequately and timely	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	John McIntosh, Chief Deputy Attorney General	
Why was this performance measure chosen?	It is crucial to ensure criminal prosecution and litigation cases are concluded timely and with justice.	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	n/a	
What are the names and titles of the individuals who chose the target value for 2015-16?	John McIntosh, Chief Deputy Attorney General	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	Measure is qualitative and non quantifiable. Performance is measured by analyzing personnel handling of cases.	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	Measure is qualitative and non quantifiable.	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	Additional prosecutors and predictable stream of revenue needed to continue current level of operations.	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	backlog of cases and cases becoming stale. Increase in violent crime in the State.
Level Requires Outside Help	n/a
Outside Help to Request	outside counsel
Level Requires Inform General Assembly	High
3 General Assembly Options	Additional prosecutors needed for case review, retention funding to retain top talent and predictable stream of revenue for operations.

REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

Instructions: Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish this objective. Under the "Ways Agency works with Current Partners," enter the ways the agency works with the entity (names of projects, initiatives, etc.) which helps the agency accomplish this objective. List only one partner per row and insert as many rows as necessary to list all of the partners. Note, if there is a large list of partners that all fit within a certain group, the agency can list the group instead of each partner individually. For example, if the agency works with every middle school in the state, the agency can list SC Middle Schools, instead of listing each middle school separately. As another example, if the agency works with every high school in Lexington county, the agency can list Lexington County High Schools, instead of listing each high school in the county separately.

Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?
South Carolina Law Enforcement Division	Assist with criminal case investigations	State/Local Government Entity
Department of Health and Human Services	Assist with Medicaid Fraud Investigations	State/Local Government Entity
Department of Social Services	Assist with Food Stamp Fraud Investigations	State/Local Government Entity
County and Municipal Law Enforcement Agencies	Assist with criminal case investigations	State/Local Government Entity

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Help Fight Crime and Bring Criminals to Justice by Prosecution and Litigation of Crime in the South Carolina Judicial System	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 1-5, 7-8, 28	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 1.1 - Work closely with Law Enforcement and other state, federal, and local agencies to successfully prosecute cases.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	Objective 1.1.2 - Conclude open and pending cases with diligence.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 1-5, 7-8, 28	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Reduction of crime in the State, alleviate case backlog	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Criminal Prosecution and Criminal Litigation	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	John McIntosh	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:	Since 1995	
Position:	Chief Deputy Attorney General	
Office Address:	1000 Assembly Street Suite 519 Columbia, SC 29201	
Department or Division:	Criminal Prosecution and Criminal Litigation	
Department or Division Summary:	The Criminal Prosecution Division consist of Legal Advisory to the State Grand Jury, General Prosecution, Medicaid Provider Fraud, Medicaid Recipient Fraud, Food Stamp Trafficking Fraud (SNAP), Solicitation Crimes of Minors (ICAC), Violence Against Women Act (VAWA), and Human Trafficking matters. The Criminal Litigation Division consist of Criminal Appeals, Post-Conviction Relief, Capital and Collateral Litigation, and Sexually Violent Predator (SVP) matters.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$9,104,191 (combined with 1.1.1 & 1.1.3)	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.

2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).

3) In the next set of cells enter the actual and target results for each year. Next to "Actual Results," enter the actual value the agency had for that performance measure at the end of that year. Next to "Target Results," enter the target value the agency wanted to reach for the performance measure for that year. Next to "Minimum acceptable level," enter the minimum level for this performance measure that the agency would find acceptable. Including a minimum acceptable level and target level will hopefully encourage the agency to continually set challenging targets each year. If the agency did not utilize a particular performance measure during certain years, then enter the following next to the applicable "Actual Results" and "Target Results," - "Agency did not use PM during this year."

4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	Objective 1.1.2 - Conclude open and pending cases with diligence.	
Performance Measure:	Cases Concluded/Final Disposition	
Type of Measure:	Output	
Results		
2013-14 Actual Results (as of 6/30/14):	1,015	
2014-15 Target Results:	Could not be quantified due to case variations	
2014-15 Actual Results (as of 6/30/15):	1,407	
2015-16 Minimum Acceptable Results:	1,158 (subject to change based on case load)	
2015-16 Target Results:	Not yet available	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	John McIntosh, Chief Deputy Attorney General	
Why was this performance measure chosen?	To alleviate case backlog and drive efficiency case review.	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	Goal was established based on open cases at start of fiscal year	
What are the names and titles of the individuals who chose the target value for 2015-16?	John McIntosh, Chief Deputy Attorney General	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	Goal was established based on open cases at start of fiscal year	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	undetermined at this time due to case variations.	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	Adequate funding and retention of experienced counsel.	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	backlog of cases
Level Requires Outside Help	n/a
Outside Help to Request	outside counsel
Level Requires Inform General Assembly	High
3 General Assembly Options	Additional prosecutors needed for case review, retention funding to retain top talent and stable stream of revenue for operations.

REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

Instructions: Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish this objective. Under the "Ways Agency works with Current Partners," enter the ways the agency works with the entity (names of projects, initiatives, etc.) which helps the agency accomplish this objective. List only one partner per row and insert as many rows as necessary to list all of the partners. Note, if there is a large list of partners that all fit within a certain group, the agency can list the group instead of each partner individually. For example, if the agency works with every middle school in the state, the agency can list SC Middle Schools, instead of listing each middle school separately. As another example, if the agency works with every high school in Lexington county, the agency can list Lexington County High Schools, instead of listing each high school in the county separately.

Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?
South Carolina Law Enforcement Division	Assist with criminal case investigations	State/Local Government Entity
Department of Health and Human Services	Assist with Medicaid Fraud Investigations	State/Local Government Entity
Department of Social Services	Assist with Food Stamp Fraud Investigations	State/Local Government Entity
County and Municipal Law Enforcement Agencies	Assist with criminal case investigations	State/Local Government Entity

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Help Fight Crime and Bring Criminals to Justice by Prosecution and Litigation of Crime in the South Carolina Judicial System	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 1, 2	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 1.1 - Work closely with Law Enforcement and other state, federal, and local agencies to successfully prosecute cases.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	Objective 1.1.3 - Recover funds where the state has been defrauded.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 1, 2	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Increased awareness of State enforcement and prosecution of criminal matters. Deterrence of fraud in the State. Funds recovered for the State.	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Criminal Prosecution and Criminal Litigation	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	John McIntosh	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:	Since 1995	
Position:	Chief Deputy Attorney General	
Office Address:	1000 Assembly Street Suite 519 Columbia, SC 29201	
Department or Division:	Criminal Prosecution and Criminal Litigation	
Department or Division Summary:	The Criminal Prosecution Division consist of Legal Advisory to the State Grand Jury, General Prosecution, Medicaid Provider Fraud, Medicaid Recipient Fraud, Food Stamp Trafficking Fraud (SNAP), Solicitation Crimes of Minors (ICAC), Violence Against Women Act (VAWA), and Human Trafficking matters. The Criminal Litigation Division consist of Criminal Appeals, Post-Conviction Relief, Capital and Collateral Litigation, and Sexually Violent Predator (SVP) matters.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$9,104,191 (combined with 1.1.1, 1.1.2)	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

- 1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.
- 2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).
- 3) In the next set of cells enter the actual and target results for each year. Next to "Actual Results," enter the actual value the agency had for that performance measure at the end of that year. Next to "Target Results," enter the target value the agency wanted to reach for the performance measure for that year. Next to "Minimum acceptable level," enter the minimum level for this performance measure that the agency would find acceptable. Including a minimum acceptable level and target level will hopefully encourage the agency to continually set challenging targets each year. If the agency did not utilize a particular performance measure during certain years, then enter the following next to the applicable "Actual Results" and "Target Results," - "Agency did not use PM during this year."
- 4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	<i>Objective 1.1.3 - Recover fraudulently stolen funds for the State.</i>	
Performance Measure:	Actual Funds Recovered through Criminal Prosecution/Criminal Litigation	
Type of Measure:	Output	
Results		
2013-14 Actual Results (as of 6/30/14):	20,348,585	
2014-15 Target Results:	Cannot be quantified due to case variations.	
2014-15 Actual Results (as of 6/30/15):	14,062,031	
2015-16 Minimum Acceptable Results:	10,162,546	
2015-16 Target Results:	Not yet available	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	John McIntosh	
Why was this performance measure chosen?	To track funds recovered through litigation	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	Funds recovered varies by case load and case disposition	
What are the names and titles of the individuals who chose the target value for 2015-16?	John McIntosh	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	Level was set based on projected and current case load at beginning of fiscal year.	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	Undetermined at this time	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	Funds recovered can vary based on case.	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	<i>back log of cases, funds owed to state are not recovered, continuance of fraud in the State by the defendant.</i>
Level Requires Outside Help	<i>n/a</i>
Outside Help to Request	<i>outside counsel</i>
Level Requires Inform General Assembly	<i>moderate</i>
3 General Assembly Options	<i>Additional prosecutors needed to alleviate backlog.</i>

REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

Instructions: Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish this objective. Under the "Ways Agency works with Current Partners," enter the ways the agency works with the entity (names of projects, initiatives, etc.) which helps the agency accomplish this objective. List only one partner per row and insert as many rows as necessary to list all of the partners. Note, if there is a large list of partners that all fit within a certain group, the agency can list the group instead of each partner individually. For example, if the agency works with every middle school in the state, the agency can list SC Middle Schools, instead of listing each middle school separately. As another example, if the agency works with every high school in Lexington county, the agency can list Lexington County High Schools, instead of listing each high school in the county separately.

Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?
South Carolina Law Enforcement Division	Provide legal advice to SLED	State/Local Government Entity
Department of Health and Human Services	Assist with Medicaid Fraud Investigations	State/Local Government Entity
Department of Social Services	Assist with Food Stamp Fraud Investigations	State/Local Government Entity
County and Municipal Law Enforcement Agencies	Assist with criminal case investigations	State/Local Government Entity

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Goal 2-Protect the people of the State and Constitutional Law through Litigating Cases in Which the State has Interest.	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 34-35	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 2.1 - Representation of the State and Constitutional Law through Prosecuting Cases in Which the State has Interest.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	Objective 2.1.1-Strive to meet required 96% NPM Tobacco Deposit Percentage Annually	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 34-35	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Compliance with Tobacco Escrow Fund Act.	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Legal Services	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	Stephen Lynch	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:	Since 2004	
Position:	Deputy Attorney General	
Office Address:	1000 Assembly Street Suite 519, Columbia, SC 29201	
Department or Division:	Legal Services	
Department or Division Summary:	The Legal Services Division consist of the State's Securities division, Tobacco Enforcement of Tobacco Escrow Fund Act and Tobacco Qualified Escrow Fund Enforcement, Civil Litigation representing a wide variety of civil legal matters and Consumer Protection and Anti-trust matters.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$618,376	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.

2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).

3) In the next set of cells enter the actual and target results for each year. Next to "Actual Results," enter the actual value the agency had for that performance measure at the end of that year. Next to "Target Results," enter the target value the agency wanted to reach for the performance measure for that year. Next to "Minimum acceptable level," enter the minimum level for this performance measure that the agency would find acceptable. Including a minimum acceptable level and target level will hopefully encourage the agency to continually set challenging targets each year. If the agency did not utilize a particular performance measure during certain years, then enter the following next to the applicable "Actual Results" and "Target Results," - "Agency did not use PM during this year."

4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	Objective 2.1.1-Strive to meet required 96% NPM Tobacco Deposit Percentage Annually	
Performance Measure:	Percentage NPM Deposit Met	
Type of Measure:	Output	
Results		
2013-14 Actual Results (as of 6/30/14):	99.67	
2014-15 Target Results:	>96%	
2014-15 Actual Results (as of 6/30/15):	99.90%	
2015-16 Minimum Acceptable Results:	>96%	
2015-16 Target Results:	Not yet available	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	Stephen Lynch, Deputy Attorney General	
Why was this performance measure chosen?	Required based on MSA Settlement Agreement	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	n/a	
What are the names and titles of the individuals who chose the target value for 2015-16?	Stephen Lynch, Deputy Attorney General	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	Required based on MSA Settlement Agreement	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	Yes	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	n/a	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	Loss of large revenue source to the State.
Level Requires Outside Help	No
Outside Help to Request	None
Level Requires Inform General Assembly	Low
3 General Assembly Options	None at this time

REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

Instructions: Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish this objective. Under the "Ways Agency works with Current Partners," enter the ways the agency works with the entity (names of projects, initiatives, etc.) which helps the agency accomplish this objective. List only one partner per row and insert as many rows as necessary to list all of the partners. Note, if there is a large list of partners that all fit within a certain group, the agency can list the group instead of each partner individually. For example, if the agency works with every middle school in the state, the agency can list SC Middle Schools, instead of listing each middle school separately. As another example, if the agency works with every high school in Lexington county, the agency can list Lexington County High Schools, instead of listing each high school in the county separately.

Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?
South Carolina Department of Revenue	Audits	State/Local Government Entity
South Carolina Law Enforcement Division	Tobacco Inspections and Seizures	State/Local Government Entity

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Goal 2-Protect the people of the State and Constitutional Law through Litigating Cases in Which the State has Interest.	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 6, 9-16, 25-27,	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 2.1 - Representation of the State and Constitutional Law through Prosecuting Cases in Which the State has Interest.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	Objective 2.1.2-Increase Consumer Protection from unfair and deceptive trade practices and anticompetitive and antitrust violations.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 6, 9-16, 25-27,	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Deterrence of unfair trade and anti-competitive practices in the State.	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Legal Services	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	Sonny Jones	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:		
Position:	Deputy Attorney General	
Office Address:	1000 Assembly Street Suite 519, Columbia, SC 29201	
Department or Division:	Legal Services	
Department or Division Summary:	The Legal Services Division consist of the State's Securities division, Tobacco Enforcement of Tobacco Escrow Fund Act and Tobacco Qualified Escrow Fund Enforcement, Civil Litigation representing a wide variety of civil legal matters and Consumer Protection and Anti-trust matters.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$874,867	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.

2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).

3) In the next set of cells enter the actual and target results for each year. Next to "Actual Results," enter the actual value the agency had for that performance measure at the end of that year. Next to "Target Results," enter the target value the agency wanted to reach for the performance measure for that year. Next to "Minimum acceptable level," enter the minimum level for this performance measure that the agency would find acceptable. Including a minimum acceptable level and target level will hopefully encourage the agency to continually set challenging targets each year. If the agency did not utilize a particular performance measure during certain years, then enter the following next to the applicable "Actual Results" and "Target Results," - "Agency did not use PM during this year."

4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	Objective 2.1.2-Increase Consumer Protection from unfair and deceptive trade practices and anticompetitive and antitrust violations.	
Performance Measure:	Cases Closed/Final Disposition & Recovery	
Type of Measure:	Output	
Results		
2013-14 Actual Results (as of 6/30/14):	5 closed/final disposition & 53.47 million in recovery	
2014-15 Target Results:	5 closed/final disposition (recovery cannot be measured due to unpredictability in cases)	
2014-15 Actual Results (as of 6/30/15):	14 closed/disposition & 56.46 million	
2015-16 Minimum Acceptable Results:	14 closed/disposition & 54.3 million in recovery	
2015-16 Target Results:	5 closed/Final Disposition	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	Sonny Jones, Senior Assistant Deputy Attorney General	
Why was this performance measure chosen?	Performance measure chosen based on open case log beginning of fiscal year	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	n/a	
What are the names and titles of the individuals who chose the target value for 2015-16?	Sonny Jones, Senior Assistant Deputy Attorney General	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	Performance measure chosen based on open case log beginning of fiscal year	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	Yes	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	n/a	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	backlog of cases, decline in recovery to the State
Level Requires Outside Help	Occasionally

Outside Help to Request	<i>Outside Counsel, Other State Attorney General Offices (multi-state litigation)</i>
Level Requires Inform General Assembly	<i>High</i>
3 General Assembly Options	<i>Agency needs an adequate and measureable stream of revenue to continue trying these cases.</i>

REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

Instructions: Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish this objective. Under the "Ways Agency works with Current Partners," enter the ways the agency works with the entity (names of projects, initiatives, etc.) which helps the agency accomplish this objective. List only one partner per row and insert as many rows as necessary to list all of the partners. Note, if there is a large list of partners that all fit within a certain group, the agency can list the group instead of each partner individually. For example, if the agency works with every middle school in the state, the agency can list SC Middle Schools, instead of listing each middle school separately. As another example, if the agency works with every high school in Lexington county, the agency can list Lexington County High Schools, instead of listing each high school in the county separately.

Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Goal 3-Provide a Fair and Productive Securities Environment for the Securities Industry, Investors, and the Public.	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 29-38	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 3.1-Monitor Registration and Compliance of Broker Dealers, Investment Advisors and Securities Offerings in South Carolina.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	Objective 3.1.1-Initiate Audit and Investigations of Securities Complaints Received within 30 days.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 29-38	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Increased education for Investors, the public, and the securities industry in the State. Deterrence of securities fraud in the State.	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Legal Services	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	Stephen Lynch	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:	Since 2004	
Position:	Deputy Attorney General	
Office Address:	1000 Assembly Street Suite 519, Columbia, SC 29201	
Department or Division:	Legal Services	
Department or Division Summary:	The Legal Services Division consist of the State's Securities division, Tobacco Enforcement of Tobacco Escrow Fund Act and Tobacco Qualified Escrow Fund Enforcement, Civil Litigation representing a wide variety of civil legal matters and Consumer Protection and Anti-trust matters.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$1,890,380	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.

2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).

3) In the next set of cells enter the actual and target results for each year. Next to "Actual Results," enter the actual value the agency had for that performance measure at the end of that year. Next to "Target Results," enter the target value the agency wanted to reach for the performance measure for that year. Next to "Minimum acceptable level," enter the minimum level for this performance measure that the agency would find acceptable. Including a minimum acceptable level and target level will hopefully encourage the agency to continually set challenging targets each year. If the agency did not utilize a particular performance measure during certain years, then enter the following next to the applicable "Actual Results" and "Target Results," - "Agency did not use PM during this year."

4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	Objective 3.1.1-Initiate Audit and Investigations of Securities Complaints Received within 30 days.	
Performance Measure:	Audit and Investigations Initiated in 30 days of receipt	
Type of Measure:	Output	
Results		
2013-14 Actual Results (as of 6/30/14):	100%	
2014-15 Target Results:	80%	
2014-15 Actual Results (as of 6/30/15):	100%	
2015-16 Minimum Acceptable Results:	80%	
2015-16 Target Results:	Not yet available	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	Stephen Lynch, Deputy Attorney General	
Why was this performance measure chosen?	Ensure complaints and investigations are reviewed in timely manner	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	n/a	
What are the names and titles of the individuals who chose the target value for 2015-16?	Stephen Lynch, Deputy Attorney General	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	Ensure complaints and investigations are reviewed in timely manner	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	Yes	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	n/a	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	Error in detecting Securities fraud in the State in a timely manner. Potential loss of investor funds.
Level Requires Outside Help	Not at this time
Outside Help to Request	n/a
Level Requires Inform General Assembly	Low
3 General Assembly Options	None at this time

REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

Instructions: Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish this objective. Under the "Ways Agency works with Current Partners," enter the ways the agency works with the entity (names of projects, initiatives, etc.) which helps the agency accomplish this objective. List only one partner per row and insert as many rows as necessary to list all of the partners. Note, if there is a large list of partners that all fit within a certain group, the agency can list the group instead of each partner individually. For example, if the agency works with every middle school in the state, the agency can list SC Middle Schools, instead of listing each middle school separately. As another example, if the agency works with every high school in Lexington county, the agency can list Lexington County High Schools, instead of listing each high school in the county separately.

Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?
South Carolina Law Enforcement Division	Investigation of Securities Fraud (criminal cases)	State/Local Government Entity

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Goal 3-Provide a Fair and Productive Securities Environment for the Securities Industry, Investors, and the Public.	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 36-38	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 3.1-Monitor Registration and Compliance of Broker Dealers, Investment Advisors and Securities Offerings in South Carolina.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	Objective 3.1.2-Timely Review of Securities Registration Filings within 30 Days of Receipt	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 36-38	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Increased education for Investors and Dealers in the State. Deterrence of Securities Fraud in the State.	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Legal Services	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	Stephen Lynch	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:	Since 2004	
Position:	Deputy Attorney General	
Office Address:	1000 Assembly Street Suite 519, Columbia, SC 29201	
Department or Division:	Legal Services	
Department or Division Summary:	The Legal Services Division consist of the State's Securities Commissioner, Tobacco Enforcement of Tobacco Escrow Fund Act and Tobacco Qualified Escrow Fund Enforcement, Civil Litigation representing a wide variety of civil legal matters and Consumer Protection and Anti-trust matters.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$1,890,380	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.

2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).

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4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	Objective 3.1.2-Timely Review of Securities Registration Filings within 30 Days of Receipt	
Performance Measure:	Filings Reviewed within 30 Days of Receipt	
Type of Measure:	Output	
Results		
2013-14 Actual Results (as of 6/30/14):	100%	
2014-15 Target Results:	85%	
2014-15 Actual Results (as of 6/30/15):	100%	
2015-16 Minimum Acceptable Results:	85%	
2015-16 Target Results:	Not yet available	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	Stephen Lynch, Deputy Attorney General	
Why was this performance measure chosen?	Ensure timely review of Securities filings	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	n/a	
What are the names and titles of the individuals who chose the target value for 2015-16?	Stephen Lynch, Deputy Attorney General	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	With current staffing levels, 85% is appropriate percentage to ensure timely review of filings.	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	Yes	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	n/a	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	backlog of Securities Filings. Registration of Advisors and Dealers in the State without proper evaluation.
Level Requires Outside Help	No
Outside Help to Request	n/a
Level Requires Inform General Assembly	Low
3 General Assembly Options	n/a

REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

Instructions: Under the column labeled, "Current Partner Entities" list all entities the agency is currently working with that help the agency accomplish this objective. Under the "Ways Agency works with Current Partners," enter the ways the agency works with the entity (names of projects, initiatives, etc.) which helps the agency accomplish this objective. List only one partner per row and insert as many rows as necessary to list all of the partners. Note, if there is a large list of partners that all fit within a certain group, the agency can list the group instead of each partner individually. For example, if the agency works with every middle school in the state, the agency can list SC Middle Schools, instead of listing each middle school separately. As another example, if the agency works with every high school in Lexington county, the agency can list Lexington County High Schools, instead of listing each high school in the county separately.

Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?

This is the next chart because once the agency determines the associated programs and amount of funds it is allocating to accomplish each objective, the agency needs to ensure it has proper performance measures established to track how effectively and efficiently it is utilizing the resources allocated. The agency also needs to consider potential negative impacts which may arise, and need to be addressed, if the objective is not accomplished; ensure the agency is addressing issues raised in previous audits or reviews; and continually consider which partners the agency could work with to more effectively and efficiently accomplish each objective.

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions: Below is a template to **complete for each Objective** listed in the Strategy, Objectives and Responsibility Chart. It is recommended that the agency copy and paste the data in this tab into multiple other tabs, while it is still blank. The agency will then have a blank version to complete for each separate Objective. The agency needs to provide information in all the cells that are highlighted. Please save the information related to each Objective as a separate tab in the excel document. Label each Tab, "O__" and insert the applicable numbers in the blanks (For example "O1.1.1"). NOTE: Call House Staff if the agency has any questions or needs any assistance in completing the information below.

Strategic Plan Context		
# and description of Goal the Objective is helping accomplish:	Goal 4-Enhance the Role of the Attorney General through the Solicitor General Legal Advisory and Shaping the State's Legal Policy.	Copy and paste this from the second column of the Mission, Vision and Goals Chart
Legal responsibilities satisfied by Goal:	Legal Standards Chart Item Numbers 17-19	Copy and paste this from the first column of the Mission, Vision and Goals Chart
# and description of Strategy the Objective is under:	Strategy 4.1-Issuing Written Legal Opinions and Advisory to Law Enforcement and Judicial Officers Upon Request.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Objective		
Objective # and Description:	4.1.1 Well Researched, High Quality Legal Opinions issued to the State and Political Subdivisions.	Copy and paste this from the second column of the Strategy, Objectives and Responsibility Chart
Legal responsibilities satisfied by Objective:	Legal Standards Chart Item Numbers 17-19	Copy and paste this from the first column of the Strategy, Objectives and Responsibility Chart
Public Benefit/Intended Outcome:	Credible legal opinions issued to Governor, members of General Assembly and other public officials of the State to uphold constitutionality of the law.	Copy and paste this from the fourth column of the Strategy, Objectives and Responsibility Chart
Agency Programs Associated with Objective		
Program Names:	Solicitor General Division	Enter all the agency programs which are helping accomplish this objective. The agency can determine this by sorting the Associated Programs Chart by the "Objective the Program Helps Accomplish" column
Responsible Person		
Name:	Robert Cook	Copy and paste this information from the fifth column of the Strategy, Objectives and Responsibility Chart
Number of Months Responsible:	Since 1983	
Position:	Solicitor General	
Office Address:	1000 Assembly Street Suite 519, Columbia, SC 29201	
Department or Division:	Solicitor General	
Department or Division Summary:	The Solicitor General Section provides legal advice to the Governor, Attorney General, members of the General Assembly, and public officials.	
Amount Budgeted and Spent To Accomplish Objective		
Total Budgeted for this fiscal year:	\$655,048	Copy and paste this information from the Strategic Budgeting Chart
Total Actually Spent:	Agency will provide next year	
PERFORMANCE MEASURES		

Instructions: Please copy and paste the chart and questions below as many times as needed so the agency can provide this information for each Performance Measure that applies to this objective.

1) In the cell next to, "Performance Measure," enter the performance measure just like the agency did in the accountability report.

2) In the cell next to, "Type of Measure," pick the type of measure that best fits the performance measure from the drop down box (see Types of Performance Measures explained below).

3) In the next set of cells enter the actual and target results for each year. Next to "Actual Results," enter the actual value the agency had for that performance measure at the end of that year. Next to "Target Results," enter the target value the agency wanted to reach for the performance measure for that year. Next to "Minimum acceptable level," enter the minimum level for this performance measure that the agency would find acceptable.

Including a minimum acceptable level and target level will hopefully encourage the agency to continually set challenging targets each year. If the agency did not utilize a particular performance measure during certain years, then enter the following next to the applicable "Actual Results" and "Target Results," - "Agency did not use PM during this year."

4) In the last set of cells, answer the questions to provide Details about each measure. In the cell next to, "Is agency required to keep track of this by the state or federal government," pick State from the drop down menu if an entity in state government requires the agency to track this information, Federal if an entity in the federal government requires the agency to track this information, or Only Agency Selected if there is no state or federal entity that requires the agency to track this information and the agency selected it.

Types of Performance Measures:

Outcome Measure - A quantifiable indicator of the public and customer benefits from an agency's actions. Outcome measures are used to assess an agency's effectiveness in serving its key customers and in achieving its mission, goals and objectives. They are also used to direct resources to strategies with the greatest effect on the most valued outcomes. Outcome measures should be the first priority. Example - % of licensees with no violations.

Efficiency Measure - A quantifiable indicator of productivity expressed in unit costs, units of time, or other ratio-based units. Efficiency measures are used to assess the cost-efficiency, productivity, and timeliness of agency operations. Efficiency measures measure the efficient use of available resources and should be the second priority. Example - cost per inspection

Output Measure - A quantifiable indicator of the number of goods or services an agency produces. Output measures are used to assess workload and the agency's efforts to address demands. Output measures measure workload and efforts and should be the third priority. Example - # of business license applications processed.

Input/Explanatory/Activity Measure - Resources that contribute to the production and delivery of a service. Inputs are "what we use to do the work." They measure the factors or requests received that explain performance (i.e. explanatory). These measures should be the last priority. Example - # of license applications received

How the Agency is Measuring its Performance

Objective Number and Description	4.1.1 Well Researched, High Quality Legal Opinions issued to the State and Political Subdivisions.	
Performance Measure:	Quality and Timeliness of Opinions Issued	
Type of Measure:	Input/Explanatory/Activity	
Results		
2013-14 Actual Results (as of 6/30/14):	Opinions issued within agreed upon timeframe and with high quality	
2014-15 Target Results:	Opinions issued within agreed upon timeframe and with high quality	
2014-15 Actual Results (as of 6/30/15):	Opinions issued within agreed upon timeframe and with high quality	
2015-16 Minimum Acceptable Results:	Opinions issued within agreed upon timeframe and with high quality	
2015-16 Target Results:	Not yet available	
Details		
Does the state or federal government require the agency to track this? (provide any additional explanation needed, two cells over)	State	Annual Accountability Report
What are the names and titles of the individuals who chose this as a performance measure?	Robert Cook, Solicitor General	
Why was this performance measure chosen?	To ensure opinion request are issued in a timely manner and with well researched facts.	
If the target value was not reached in 2014-15, what changes were made to try and ensure it was reached?	n/a	
What are the names and titles of the individuals who chose the target value for 2015-16?	Robert Cook, Solicitor General	
What was considered when determining the level to set the target value in 2015-16 and why was the decision finally made on setting it at the level at which it was set?	Required time needed to produce opinions and quality of work expected.	
Based on the performance so far in 2015-16, does it appear the agency is going to reach the target for 2015-16?	Yes	
If the answer to the question above is "questionable" or "no," what changes are being made to try and ensure it is reached or what resources are being diverted to ensure performance measures more likely to be reached, are reached?	n/a	

POTENTIAL NEGATIVE IMPACT

Instructions: Please list what the agency considers the most potential negative impact to the public that may occur as a result of the agency not accomplishing this objective. Next to, "Most Potential Negative Impact," enter the most potential negative impact to the public that may occur as a result of the agency not accomplishing the objective. Next to, "Level Requires Outside Help," enter the level at which the agency believes it needs outside help. Next to, "Outside Help to Request," enter the entities to whom the agency would reach out if the potential negative impact rises to that level. Next to, "Level Requires Inform General Assembly," enter the level at which the agency thinks the General Assembly should be put on notice of the level at which the potential negative impact has risen. Next to, "3 General Assembly Options," enter three options for what the General Assembly could do to help resolve the issue before it became a crisis. The House Legislative Oversight Committee will provide this information to all other House standing committees, but will not address it itself until the agency is under study.

Most Potential Negative Impact	Governor, General Assembly and Public Officials would no longer receive well researched and high quality legal opinions.
Level Requires Outside Help	No
Outside Help to Request	n/a
Level Requires Inform General Assembly	Low

3 General Assembly Options	n/a
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REVIEWS/AUDITS

Instructions: Below please list all external or internal reviews, audits, investigations or studies ("Reviews") of the agency which occurred during the past fiscal year that relates/impacts this objective. Please remember to maintain an electronic copy of each Review and any other information generated by the entity performing the Review as copies may be requested when the agency is under study. NOTE: Responses are not limited to the number of rows below that have borders around them, please insert as many rows as needed.

Matter(s) or Issue(s) Under Review	Reason Review was Initiated (outside request, internal policy, etc.)	Entity Performing the Review and Whether Reviewing Entity External or Internal	Date Review Began (MM/DD/YYYY) and Date Review Ended (MM/DD/YYYY)

PARTNERS

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Current Partner Entity	Ways Agency Works with Current Partner	Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
Fiscal Year for which information below pertains	2015-16

Instructions :

List all reports, if any, the agency is required to submit to a state, federal or outside entity on a regular basis. Insert the name of each report in a separate column and answer the questions below it. Add as many columns as needed.

PLEASE NOTE: All information the agency provides in the rows below the row labeled, "Date the Report was last submitted," should apply to when the agency most recently submitted the report (i.e. date report was last submitted).

Information in all these rows should be for when the agency completed the report most recently	Agency Responding	South Carolina Office of Attorney General	South Carolina Office of Attorney General
	Report #	1	2
	Report Name:	House Restructuring Report	Accountability Report
	Why Report is Required		
	Legislative entity requesting the agency complete the report:	House Legislative Oversight Committee	Executive Budget Office
	Law which requires the report:		
	Agency's understanding of the intent of the report:	Reduce unnecessary programs and provide for a more streamline government.	Enlighten the citizens of South Carolina on the current operations of Government Branches and Agencies and their functions in the State.
	Year agency was first required to complete the report:	2015	1994-1995
	Reporting frequency (i.e. annually, quarterly, monthly):	Annually	Annually
	Information on Most Recently Submitted Report		
	Date Report was last submitted:	4/6/2015	9/15/2015
	Timing of the Report		
	Month Report Template is Received by Agency:	December	June
	Month Agency is Required to Submit the Report:	January	September
	Where Report is Available & Positive Results		
	To whom the agency provides the completed report:	Jennifer Dobson-House Legislative Oversight	Brenda Hart-Executive Budget Office
	Website on which the report is available:	www.scstatehouse.gov	http://www.admin.sc.gov/budget
	If it is not online, how can someone obtain a copy of it:		
	Positive results agency has seen from completing the report:		

Agency Responding	South Carolina Office of Attorney General
Date of Submission	2/12/2016
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RESTRUCTURING RECOMMENDATIONS

Instructions: Please answer the questions below and add as many rows as needed.

Does the agency have any recommendations, minor or major, for restructuring?

Yes

If the agency has recommendations for restructuring, list each one on a separate row in the chart below. Add as many rows as needed.

Does the agency recommendation require legislative action?	Recommendation for restructuring
Yes	The Agency is in need of a predictable and stable revenue source to continue operations at current level.

FEEDBACK (Optional)

Instructions: Please answer the questions below to provide feedback on this Annual Restructuring Report ("Report").

Please list 1-3 benefits the agency sees in the public having access to the information requested in the Report, in the format it was requested.	Please list 1-3 benefits to agency management and employees in having all of this information available in one document.	Now that the agency has completed the Report, please list 1-3 things the agency could do differently next year (or it could advise other agencies to do) to complete the Report in less time and at a lower cost to the agency.
1	1	1
2	2	2
3	3	3

Does the agency believe this year's Restructuring Report was less burdensome than last year's?	Please list 1-3 changes to the Report questions, format, etc. the agency recommends to ensure the Report provides the best information to the public and General Assembly, in the least burdensome way to the agency.	Please add any other feedback the agency would like to provide (add as many additional rows as necessary)
	1	
Why or why not?	2	
	3	

Agencies are not required to do anything in this worksheet. This worksheet is part of the document so the proper drop down menus can be available in the other tabs.

Is Performance Measure Required?

State

Federal

Only Agency Selected

Type of Performance Measure

Outcome

Efficiency

Output

Input/Explanatory/Activity

Is the Partner a State/Local Government Entity; College, University; or Other Business, Association, or Individual?

State/Local Government Entity

College/University

Business, Association or Individual

Does the Agency have any restructuring recommendations

Yes

No

Does the agency believe this year's Restructuring Report was less burdensome than last year's?

Yes

No